NOTE: Mays Departments may have a Departmental PhD Handbook, set internal PhD Program procedures, requirements, and deadlines in addition to this Handbook. Contact your Department PhD Coordinator to ensure you are in compliance with their Program.

For TAMU updates also refer to the Office of Graduate and Professional Studies requirements and terminology at http://ogaps.tamu.edu/

Last update: August 2015
# Table of Contents

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Introduction</td>
<td>3</td>
</tr>
<tr>
<td>Residence, Credit Hour Requirements &amp; 99 hr. cap</td>
<td>4</td>
</tr>
<tr>
<td>Registration Requirements</td>
<td>4-5</td>
</tr>
<tr>
<td>Advising (Initial)</td>
<td>6</td>
</tr>
<tr>
<td>Advisory Committee</td>
<td>6</td>
</tr>
<tr>
<td>Degree Plan &amp; OGAPS Online Submission System</td>
<td>7-8</td>
</tr>
<tr>
<td>Program Requirements</td>
<td>8</td>
</tr>
<tr>
<td>Performance Standards</td>
<td>8-9</td>
</tr>
<tr>
<td>Preliminary Examination <em>(Departments can vary)</em></td>
<td>9-10</td>
</tr>
<tr>
<td>Dissertation Research Proposal Defense (Mays)</td>
<td>10-11</td>
</tr>
<tr>
<td>Admission to Candidacy</td>
<td>11</td>
</tr>
<tr>
<td>Dissertation</td>
<td>11</td>
</tr>
<tr>
<td>Defense of Dissertation</td>
<td>12</td>
</tr>
<tr>
<td>Time Limit (Mays 5 Years)</td>
<td>13</td>
</tr>
<tr>
<td>Miscellany &amp; PhD Program Progression Chart</td>
<td>14-15</td>
</tr>
<tr>
<td>Frequently Asked Questions</td>
<td>16</td>
</tr>
<tr>
<td>Appendix (Office of Graduate and Professional Studies forms)</td>
<td>17</td>
</tr>
</tbody>
</table>
INTRODUCTION

The PhD program represents the highest level of formal academic study available in business administration. A PhD (Doctor of Philosophy) has always been considered the most significant achievement in preparation for an active career in scholarship and research. The PhD program in business administration has three primary objectives:

- Providing you with comprehensive knowledge of methods, institutions, and concepts in business and related functional and research disciplines.
- Preparing you to conduct quality research relevant to private or public organizations, to direct research by others, and to communicate research findings through teaching and writing.
- Preparing you for the varied responsibilities and opportunities of academic careers or for positions requiring similar research and analytical skills.

The PhD program at Mays Business School (Mays) is individualized. Your degree program is prepared in detail only after a systematic careful review of career objectives and thorough appraisal of prior preparation. In order to preserve this individualized character of the program, only a small number of applicants are admitted.

Because the PhD degree signifies the highest level of excellence in intellectual attainment, our requirements are demanding and the standards exacting. As our PhD program is monitored on four administrative levels throughout Mays and Texas A&M, successful attainment of your doctorate requires your understanding our program’s requirements, rules, and procedures.

This handbook provides a detailed explanation of those program requirements, policies, and procedures. Some information presented here has been taken from the University’s Graduate Catalog and the Office of Graduate and Professional Studies (OGAPS). Other information presents requirements within Mays.

_It is your responsibility to know degree program requirements. The Office of Graduate and Professional Studies (OGAPS), Mays Dean’s Office, your academic Department, and PhD Advisory committee are available to assist you, but it is up to you to ensure that you have personal knowledge of the requirements in your degree program._

Departmental requirements may be more definitive, in selected areas, than Mays requirements presented in this handbook.
RESIDENCE AND CREDIT HOUR REQUIREMENTS

A major purpose of the residence requirements for graduate degrees is to ensure that you experience the advantages of a university environment. These advantages include: access to computing, library facilities, laboratories and other physical facilities, and opportunities to participate in seminars and other activities. Becoming acquainted with the faculty and other students on a personal and professional basis are also major advantages. The residency requirements afford the faculty the opportunity to properly assess your professional development, guide and direct your studies, and determine your competency.

If you enter our PhD program with a baccalaureate degree, you must spend a minimum of two (2) academic years in residency. Most PhD students in Mays are in-residence for more than these minimums: generally 4-5 years.

If you hold a master's degree, a minimum of one (1) academic year in resident study is required. In either case, the residency requirement must be as a full-time student.

The requirements for a doctorate degree are normally either a minimum of 96 credit hours beyond the baccalaureate degree or 64 credit hours beyond the master's degree. The credit hour requirements usually include 18-24 hours for dissertation research. The actual credit hours taken are a function of the specific requirements of the Department, the major field of study, and the expectations of your Advisory Committee (AC), and are often more than the minimums stated.

**Full-time status.** The University defines full-time status as being registered for a minimum of nine (9) semester credit hours during a fall and spring semester, and six (6) semester credit hours during a 10-week summer semester, or three (3) semester credit hours during a 5-week summer term.

*Effective 2004*, regarding fall and spring semesters, Mays PhD Program requires all PhD students to be registered in-residence and full time until all coursework is completed. After completion of coursework, if student is on an assistantship, full-time registration is required.

**International students** should always contact International Student Services (845-1825) to determine the minimum number of credit hours required depending on the type of visa held.

**Maximum hours.** Graduate students may enroll for a maximum of 15 credit hours during a regular semester, six (6) credit hours for a 5-week summer term, and ten (10) credit hours for a 10-week summer semester. Requests to exceed the maximum hours must be made through the Executive Associate Dean, 440 Wehner.

REGISTRATION REQUIREMENTS

**99 Credit Hour Cap.** In 1997, the State of Texas passed legislation that limits the number of credit hours beyond the master’s degree that the State will financially support. State universities, including Texas A&M, have been given the authority to charge non-resident tuition to PhD students who accrue 99 or more hours of PhD course work regardless of residence status. See the University’s Graduate Catalog for more information.

**Summer Registration Requirements:**

**In-residence PhD student summer registration** is based on summer funding, fellowships/scholarships, and immigration requirements. Registration is only required if funded and must meet the University’s minimum credit hour requirement. Check the OGAPS website for updated information at http://ogs.tamu.edu/incoming-students/registration/
**International students** should always contact International Student Services (845-1825) to determine the minimum number of credit hours required depending on the type of visa, etc.

**Assistantships.** PhD students who hold assistantships (or receive other summer funding) must be enrolled for a minimum of three (3) credit hours for each 5-week summer term funded in order to meet the University's full-time enrollment requirement. This requirement can be accomplished by registering in each 5-week term that you are funded and/or the combined 10-week term. Any combination is appropriate. Assistantships terminate upon failure to maintain the University’s minimum enrollment requirement. Contact your Department Head or Advisor for more information. *OGAPS determines the University’s requirement for summer and vary from year to year.*

**In-absentia Registration Requirement.** PhD students admitted to candidacy and no longer in-residence, yet still engaged in research for their dissertations, must register in-absentia for one (1) credit hour of 691 (Research) each fall, spring, and 10-week summer term until all requirements for the degree have been completed, clear Thesis Office, and graduate.

To qualify for in-absentia registration, you must:

- Not have access to or use of facilities or properties belonging to or under jurisdiction of The Texas A&M University System at any time during the semester or summer term for which you are enrolled;
- Definition of facilities include: human resources and services such as those provided by Graduate Advisory Committee members responding to drafts of theses, dissertations, or records of study, or other academic materials.
- Contact your Department to ensure there is an in-absentia section of 691.

In-absentia registration must continue until all requirements for the degree have been completed. In order to be exempt from some student fees, make sure to register in the appropriate in-absentia section number. If unsure, contact your Department for the correct section number.

Students not in compliance with this requirement will have future registration blocked. Re-registration will only be allowed after receiving a favorable recommendation from a Departmental review committee (not your AC), the endorsement of the Department Head, plus approval of Mays EAD and OGAPS Dean.

**You are not required to register if:**

1. Your dissertation **clears** the Thesis Clerk **before** the last day to add a course at the beginning of the semester (including SSI). The critical point is that the dissertation is "cleared by the Thesis Clerk"; *submission is not sufficient.*
2. And you file for graduation in that semester.

If you defend your dissertation, but it does not clear by the last day to add a course, then you must enroll for one (1) credit hour (in-absentia) in 691 (research) and file for graduation. Make sure your Department creates an in-absentia section.

Response time from the Thesis Clerk’s office lengthens as the deadline approaches due to "last minute" requests. Mays Executive Associate Dean (EAD) will answer questions relating to residency status.
**ADVISING (Initial)**

The Departmental PhD Advisor is responsible for directing your program until your Advisory Committee (AC) is formed. Upon entering the program, you should consult with the PhD student Advisor to determine if there are foundation knowledge requirements of Mays and prerequisite requirements of your Department that must be satisfied.

General competency in calculus and a computer programming language are required foundation courses for the PhD program. These requirements may be satisfied by a set of courses at Texas A&M or equivalent courses from other Association to Advance Collegiate Schools of Business (AACSB) accredited programs.

Departments may also have their own prerequisites. Consultation with your PhD Advisor is necessary to determine these possible prerequisites. Such prerequisites may be satisfied with courses at Texas A&M or equivalent courses from other accredited graduate programs. Prerequisites should be satisfied through the completion of graduate level course work.

After receiving admission and enrolling, you must follow a number of procedural steps to fulfill your degree requirements.

1. Consult with the Departmental PhD Advisor about forming an Advisory Committee (AC) and appointing an Advisory Committee Chair. These individuals help you prepare a degree plan, which lists the courses you must take to fulfill your degree requirements and formally establish your Advisory Committee.
2. You and your AC, at your first meeting, should agree upon the general field of research for the dissertation so that you can select the proper courses to support your proposed research.
3. After completion of class work, the next step is to schedule a preliminary exam.
4. Then, as soon as the research project can be outlined in reasonable detail, you must submit a dissertation proposal. Your AC must approve the proposal. The Committee should also review the feasibility of the proposed research and the adequacy of available facilities. The approved proposal, signed by all the members of your AC, and the Head of your major Department (plus the Chair of the intercollegiate faculty, if appropriate) should be submitted for final approval.
5. Finally, to fulfill procedural requirements of your PhD degree you must complete your residence requirement, apply for your degree, schedule and pass a final exam, and submit two copies of your completed dissertation to the Thesis Office.

**ADVISORY COMMITTEE**

Your Advisory Committee (AC) is normally formed after the Departmental review process that occurs during or the end your first year of the program.

Your AC then guides and directs your academic program. Their responsibilities include:

- Your degree plan
- Your preliminary written and oral examination
- Your research proposal
- Your dissertation
- Your final oral examination

As a group, and as individual members, your AC is responsible for advising you on academic matters and initiating correspondence to the OGAPS Dean with respect to your standing in the program and any academic deficiencies.

During the second year, most PhD students begin forming their AC by selecting a Chair (the person
who Heads your AC). You may want to visit with several graduate faculty members in your Department to discuss research interests and availability.

Your AC will consist of not fewer than four (4) members of the graduate faculty whom you select to represent your several fields of study and research of which one (1) must be from a Department other than your major Department.

In forming your AC, it is strongly recommended you consider the following:

- Faculty member’s general areas of interest (share your interests or areas of specialization)
- Faculty member’s particular research interests (are qualified to supervise your research)
- Faculty member’s career plan (have knowledge and/or contacts that could be helpful to you in accomplishing your plans)

For AC member criteria refer to the current OGAPS Graduate Student Handbook.

The Head of your major Department will approve the final selection of your committee Chair when the degree plan is filed with the OGAPS.

Your Chair usually has immediate supervision of your research and thesis, is responsible for calling required meetings of the AC and any other meetings deemed necessary.

**Alternate Chair.** You may request, in writing, that the Department Head appoint an alternate Chair if your AC Chair is unavailable for an extended time during any academic period in which you are involved in activities relating to your dissertation. A faculty member located away from the Texas A&M campus may not serve as Chair of your AC, but may serve as co-Chair with a faculty member located on campus.

**Ombudsperson:** OGAPS will provide an Ombudsperson who advocates for the processes of graduation education by being equally open and accessible to all parties—students, faculty, staff, and administrators. They can be contacted at 845-3631 [http://ogs.tamu.edu/current-students/ombudsperson/](http://ogs.tamu.edu/current-students/ombudsperson/)

**DEGREE PLAN & OGAPS ONLINE SUBMISSION SYSTEM**

In consultation with the AC, you are to develop a proposed degree plan that will constitute the course work requirements for the degree. Your proposed degree plan must be filed with the OGAPS no later than 90 days prior to your preliminary examination.

Normally, the degree plan is filed in the second year of your program. Some Mays Department’s timelines vary; please consult with your academic Department.

Mays PhD students are requested to utilize the electronic degree plan submission at the OGAPS site: [http://ogs.tamu.edu/current-students/submit-degree-plan/](http://ogs.tamu.edu/current-students/submit-degree-plan/)

The proposed degree plan must be routed through and electronically approved by:

- You,
- The members of your AC,
- The Head of your major Department.

Subsequent to approval of a degree plan, your AC may add additional course work. This could occur if additional course work is deemed necessary to correct deficiencies in your academic preparation.

Your degree plan may be altered, after approval, by submitting a petition form initiated by you to the Office of Graduate and Professional Studies (OGAPS). A petition is used to make changes to the degree plan, including changes in AC members, course substitutions, change of major Department,
and requests for exception to published rules. A petition requires approval by your AC, the Department Head, and the EAD before it is submitted to the OGAPS Dean.

Petitions requesting additions, deletions, or substitutions of courses, each course entry must show the official course prefix, course number, full title (full, exact titles of 689 courses must be indicated), and the number of semester credit hours assigned to the course. Provided space is adequate, as many requests as needed should be included on one petition form.

The name of each individual listed on a petition (student, AC members, individuals requested as replacements for AC members or as additions to the AC, etc.) and the Department must be typed beneath the signature of the individual.

PROGRAM REQUIREMENTS

Program requirements include:

- A first year review (conducted by the major Department),
- Preparation in a major field and at least one minor field,
- Classroom teaching experience,
- Possible experience as a research or teaching assistant.

Requirements vary somewhat among the academic Departments within Mays. The general components of program requirements include:

**Major Field.** The major field is selected from one of the following: accounting, finance, management, marketing, or supply chain management.

**Minor Field.** The minor field(s) is designed to assure that you have the requisite knowledge and skill to conduct and communicate independent research. The courses in this field do not necessarily need to come from a single academic Department.

**Teaching.** New graduate assistants with responsibilities that include grading, leading recitation, conducting or assisting in labs, and assuming full responsibility of teaching are required to attend the face-to-face training through the Teaching Assistant Institute (TAI) provided by OGAPS. Information is located at [http://cte.tamu.edu/Featured-Programs/Teaching-Assistant-Institute](http://cte.tamu.edu/Featured-Programs/Teaching-Assistant-Institute)

**Other.** Each academic Department has specific requirements for minimum hours required in each major area, the maximum hours of 681, 685, and 688 courses, transfer credit, and so forth. Departments may require their PhD students to enroll in certain seminars. Consult with your Department PhD Advisor concerning these requirements.

PERFORMANCE STANDARDS

Academic excellence is expected in all work undertaken. A minimum Grade Point Ratio (GPR) of 3.00 (B average, based on a 4.00 maximum) in all course work, other than in those courses in which grades of Satisfactory (S) or Unsatisfactory (U) are given (681, 684, 690, 691, 692), must be maintained throughout the period of graduate study. After admission to the PhD program, all grades earned in courses taken at Texas A&M will be used in computing the GPR ratio. Students holding fellowships may have higher GPR requirements.

A course in which a grade of less than C or U is earned will not count toward completion of the degree program. A course in which the final grade is C or less may be repeated for a higher grade. Courses on a degree plan with grades of D, F, or U must be absolved by repeating the courses and achieving a grade of C or above.
Grades and credit hours transferred to your degree program from another institution shall not be used in computing your final overall GPR ratio.

Courses listed for graduate credit on the official degree plan in which you have received a final grade may not normally be removed from the degree plan. However, courses acceptable for graduate credit may be added. You may petition for an exception to this rule.

The major Department and Dean’s Office monitor academic progress.

You will be considered to be on scholastic probation if your GPR falls below the minimum 3.0 in a given semester. If placed on academic probation, you will be notified of your status as well as the conditions required to regain "good academic standing."

Failure to remedy such academic deficiency in an appropriate time period, failure to earn a least a 3.0 GPR after being placed on scholastic probation, or other evidence of poor performance constitutes grounds for being dropped from the PhD program.

Upon recommendation of your AC, major Department, and the EAD, and with the approval of the OGAPS Dean, you may be dismissed from the program at any time for failure to maintain satisfactory progress toward the degree.

If an AC has not been appointed, the responsibility for initiating such a recommendation to the OGAPS Dean resides with the Department Head.

**PRELIMINARY EXAMINATION (Always check with your Department)**

A preliminary examination is required of all PhD students. Through the preliminary examination, your AC should satisfy itself that you have demonstrated the following qualifications:

- A mastery of the subject matter of all fields in the program.
- An adequate knowledge of the literature in these fields and the ability to critically evaluate and synthesize that literature.

*Always check with your major Department to ensure you are meeting their specific requirements.*

**Purpose.** The preliminary examination is required at the completion of course work, thereby being preliminary to formal dissertation research.

**Timing.** Generally, this exam must be taken no later than the end of the first semester after the completion of course work. It may be taken when you are within six credit hours of completion of the formal course work [not counting 681 (seminar); 690 (theory of research); or 691 (dissertation) courses]. Check your academic Department for their timeline.

Students are urged to have completed all course work before taking prelims. No student may be given a preliminary examination unless their official GPR ratio at the time of the examination is 3.0 or better. The preliminary examination includes both written and oral portions and must be administered on campus. All portions of the examination (other than a Departmental exam) must be held within a two-week period. If a Departmental exam is used as a part of the written portion of the preliminary examination, it must be the last Departmental exam offered prior to the date for the preliminary examination.

**Procedures.** When nearing completion of course work, your AC Chair will notify the AC and faculty in the Department to arrange the schedule for the preliminary examination.

The Office of Graduate and Professional Studies (OGAPS) no longer requires a memo announcing the
preliminary exam (2006-07). Rather the Chair and student should refer to the OGAPS Checklist to ensure the student is ready for the exam, etc. This Checklist refers to the time frame in which the degree plan has to be on file, the time span between the written and the oral, and the reporting of the examination results. Refer to the Checklist under Exams on the OGAPS website at http://ogs.tamu.edu/incoming-students/student-forms-and-information/getting-a-degree/preliminary-exam-requirements/

A Department may require a Departmental examination as part of the preliminary exam. In this case, it is the responsibility of the PhD student Advisor to solicit questions from faculty, prepare the examination, and obtain faculty assessments on the written examination. These assessments will serve as input to your AC. The marked exam must be forwarded to your AC Chair within two weeks after the exam has been taken.

If Departmental preliminary examinations are given, OGAPS should receive prior notification of such examinations, along with a listing of those who are to participate.

If a Departmental examination is used as a part of the written portion of the preliminary examination, it must be the last Departmental examination offered prior to the anticipated date of the preliminary examination. Departmental preliminary examinations must be offered at least once every six (6) months and should be announced at least thirty (30) days prior to the examination date. Departmental qualifying or cumulative examinations given early in a student's program may not substitute for the written preliminary examination. Prior participation in a Departmental examination must be noted on the announcement of the preliminary examination submitted to the OGAPS.

The written portion of the preliminary examination normally covers both the major and minor fields. The member of your AC representing the minor field(s) may conduct the written examination(s) in the minor field(s) or the appropriate Department(s) at the request of the AC Chair may give the examination(s). Your AC conducts the oral portion of the preliminary examination.

Your AC Chair is responsible for making all written examinations available to AC members at or before the oral examination. Each written examination must be completed and reported as satisfactory to your AC Chair before the oral portion of the examination may be held.

Your AC Chair must report the results (pass and/or failure) of the preliminary exam (or any changes in the schedule) in writing to the Office of Graduate and Professional Studies. OGAPS must receive the results of the preliminary examination at least 14 weeks prior to the final examination date. (See Appendix for OGAPS link to form.)

After passing the required oral and written portions of the preliminary examination, you must complete all remaining requirements within 4 calendar years as specified in University Rules. Otherwise, you must repeat the preliminary examination.

Upon approval of the student's advisory committee, with no more than one member dissenting, and the approval by the Office of Graduate and Professional Studies, a student who has failed the preliminary examination may be given one re-examination, when adequate time has been given to permit the student to address the inadequacies emerging from the first examination (normally six months). The student and the advisory committee should jointly negotiate a mutually acceptable date for this purpose. (See Appendix for OGAPS link to form.)

**DISSERTATION RESEARCH PROPOSAL DEFENSE (Mays Requirement)**

As early in your program as possible, you and your AC should determine and agree on the general research domain for your dissertation.
After successfully completing your preliminary exams, you shall prepare a dissertation research proposal that fully describes the proposed research. An oral defense of the dissertation research proposal is required within Mays.

**Preparation and guidelines:**

- Two (2) weeks prior to the scheduled date, the proposal defense must be announced with a memo (normally sent as an e-mail) from your AC Chair to Mays faculty and PhD students two weeks prior to the scheduled date.
- A copy of your proposal must be available in your major Departmental office for interested faculty and PhD students.
- The proposal defense is open to Mays faculty, PhD students, and other persons. Those attending the proposal defense are encouraged to provide recommendations for improving or modifying the dissertation research design. The purposes of having open proposal defenses are to obtain greater uniformity in quality of proposals and to provide helpful suggestions to you and the AC.
- Your AC evaluates your proposal defense and the input received and decides whether to approve the dissertation proposal.

If approved by your AC, the dissertation research proposal is filed with the OGAPS along with a University title page. The official title page can be obtained from the Office of Graduate and Professional Studies. (see Appendix for link to form). The research proposal is a description of the research that you intend to perform in a detailed, comprehensive dissertation. It gives you the opportunity to demonstrate to your AC your ability to successfully pursue your projected topic.

Your dissertation proposal and title page must be submitted to OGAPS at least 14 weeks prior to the date of your final defense. In the case of lengthy proposals, OGAPS prefers to receive an overview of the intended research. (See Appendix for OGAPS link to form)

Additionally, if human subjects are to be used in the dissertation, the form titled "Protocol for Human Subjects in Research" must also be submitted to OGAPS. The complete document for this protocol is available from the Departmental PhD GIC member or in the Dean's Office. This would apply even if you will use human subjects for mailed questionnaires. (Contact the Office of Research Management at 979.845.1812 for questions dealing with human subjects in research.)

**ADMISSION TO CANDIDACY**

To be admitted to candidacy for the PhD degree, you must have:
- A cumulative GPR and a degree plan GPR of at least 3.00,
- Satisfy the residence requirement,
- Pass the preliminary examination,
- Complete all formal course work on the degree plan,
- Have an approved dissertation proposal on file with OGAPS.

You and your AC Chair should receive written notification from the OGAPS certifying admission to candidacy. You must be admitted to candidacy well in advance of the date when the degree is to be granted. OGAPS will not authorize a final examination for any PhD student who has not been admitted into candidacy.

**DISSERTATION**

You must complete a dissertation embodying the results of research and showing evidence of originality and ability for independent investigation. The dissertation must show mastery of the literature and relevant research techniques, be written in creditable literary form, and represent a
contribution to knowledge in the field. The ability to perform independent research must be
demonstrated by your dissertation. It is generally agreed that the PhD dissertation should be a
distinct contribution to knowledge and of sufficient value to warrant its publication in a reputable
journal or as a book/monograph.

It is strongly recommended that you check with the Thesis Clerk (University Library) on format
issues at the beginning of your writing process.

The format of the final dissertation document must comply exactly with OGAPS specifications found
in the thesis manual, Instructions Concerning the Preparation of Proposals, Theses, Dissertations,
and Records of Study. This booklet may be purchased from the Texas A&M Bookstore.

You will work closely with the Thesis Clerk in preparing the final dissertation document according to
the format of an academic journal you select. Please refer to their website for current processing at
http://thesis.tamu.edu/. Currently, two (2) copies of the finished dissertation must be submitted to
the Thesis Office and must include all suggestions and corrections by the members of your AC. The
Department Head and your Advisory Committee must also sign it.

DEFENSE OF DISSERTATION

Make sure to review the current OGAPS Graduate Student Handbook or OGAPS website
http://ogs.tamu.edu/current-students/thesis-dissertation/ to ensure that you have met all
requirements prior to the submission of your dissertation.

Upon the recommendation of your AC, as finally constituted, a final oral defense of your dissertation
shall be conducted.

The final examination is not to be administered until such time that the dissertation is available to
your AC in substantially final form.

This form would constitute, as a minimum, a typed version that is complete in content in all respects.
Moreover, all AC members should have had adequate time to review the document. Although the
defense may cover the broad field of your training, it is presumed that the major portion of the time
will be devoted to the dissertation and closely allied topics. The defense is open to faculty and PhD
students at Texas A&M.

The Chair of your committee will send a memo to OGAPS and Mays faculty and PhD students
announcing your dissertation defense. (See Appendix for link to OGAPS forms.) Only your AC will be
present for evaluating your performance on the examination.

You must pass the defense of your dissertation by the deadlines announced in the OGAPS calendar
each semester or summer session in order to graduate in that semester or summer.

The announcement of the defense should be submitted to the OGAPS at least two (2) weeks in
advance of the scheduled date for the defense. The approval to hold the defense is signified by
receipt by your Chair of the form from the OGAPS for the final defense. This form is later used by
your AC to submit its recommendations to the OGAPS Dean concerning the acceptability of the
candidate for the PhD degree.

The defense may be held only if you meet the following conditions (in addition to satisfactory status
of the dissertation research):

1. Have completed all course work on the degree plan, with the exception of any 691 (research)
hours for which you are registered (or any course for which permission from the OGAPS, has
been granted to delete from the degree program);
2. Have a 3.0 or better and have no un-absolved grades of D, F, or U for courses listed on the degree plan;
3. Have an approved research proposal on file with the OGAPS;
4. And have been admitted to candidacy.

The form your Chair submits to OGAPS indicating your successful defense also is used to change all grades of “I” in 691 hours to “S.”

After satisfactory completion of the final oral exam and by the dates announced each semester or summer session in the OGAPS calendar, you must submit to the Office of the Thesis/Dissertation Clerk (University Library) two (2) copies of the dissertation in the final form. The two copies are for the University library and your Department.

The dissertation must be completed, with all suggestions and corrections of your AC properly incorporated. The dissertation also must bear the signatures of the Department Head and members of your AC.

An abstract not exceeding 350 words and a vita page must be included with the dissertation. Two (2) additional copies of the abstract and title page must be submitted with the dissertation for microfilming.

In addition to a dissertation-binding fee, all successful candidates for the PhD degree are required to pay to Financial Management Services a dissertation-microfilming fee. This fee is used to film PhD dissertations in cooperation with University Microfilms.

Formal application for the degree must be filed in the OGAPS office not later than ninety (90) days prior to the end of the semester, or thirty (30) days prior to the end of the summer term in which you expect to complete requirements for graduation.

You must be formally registered at Texas A&M for the semester in which the degree is to be conferred. The only exception to this rule is presented earlier in the section on “residency and credit hour requirements.”

TIME LIMIT (5 years to compete and graduate)

Work leading to the degree of Doctor of Philosophy (PhD) in Mays Business School is designed to give a candidate thorough and comprehensive knowledge of his or her professional field and training in methods of research.

Mays exceptions to the University requirements include:

a) all requirements for the PhD degree must be completed within a period of five (5) consecutive calendar years for the degree to be granted: implemented into TAMU Graduate Catalog effective 2004-05;

b) a course will be considered valid until four (4) years after the end of the semester in which it is taken and credit for course work more than four (4) calendar years old at the time of the final oral examination may not be used to satisfy degree requirements; and

c) final corrected copies of the dissertation or record of study must be accepted by the thesis clerk no later than one (1) year after the final examination or within the five (5) year time limit, whichever occurs first. Failure to do so will result in the degree not being awarded.
**MISCELLANY**

**Advisors.** Your key contacts are your PhD student Advisor, your AC Chair, and the EAD. All questions concerning the program should be directed to one of these individuals.

**Financial Assistance.** Full and partial graduate assistantships are available. These enable a student to work 10 to 20 hours per week in research-related and/or teaching-related duties.

A recipient of a graduate assistantship for 20 hours per week qualifies as a resident and pays in-state tuition. Assistantships may be awarded for the full academic year, subject to availability of funds. The assistantships are normally renewable annually on the basis of satisfactory performance and availability of Departmental funds. Departments normally do not renew assistantships beyond the fourth (4th) year of a student’s program. As stated earlier, if you have an assistantship, you must enroll in the summer term(s) that you are funded per 5-week terms or for 10-week courses. Assistantships terminate upon failure to maintain the University’s minimum enrollment requirement. Contact your Department Head or Advisor for more information.

A graduate assistant should be relatively flexible in arranging hours of availability. The faculty supervisor(s) will evaluate the performance of the graduate assistant both for continued support and employment opportunities. You should always feel free to discuss assistantship assignments with the PhD student Advisor, your AC Chair, or the Department Head.

**University Paid Tuition Program (subject to University’s continuation)**

Starting fall 2005, Texas A&M implemented a University Tuition Paid Program based on resident, designated, and graduate tuition. Criteria for eligibility each semester:

- Must be a TAMU graduate assistant and at least 50% FTE (full-time equivalent)
- Must be in-residence
- Must register as a full time graduate student meeting Texas A&M definition of full time for each appropriate semester

**Graduate Assistant Lecturer (GAL).** The title “Graduate Assistant Lecturer (GAL)” is available for Ph.D. students under certain circumstances. Recommendations for appointing students to this position must be initiated by the department and approved by Mays Dean’s Office. Minimum qualifications for appointment to this position include—

- Must be senior level PhD student and in-residence at Texas A&M University
- Successfully completed preliminary examination
- Successfully defended dissertation proposal
- On track to complete program in one year or less
- Appointment will be classroom instruction
- International students need approval from International Student Services to ensure they are within immigration compliance

**GCR (Graduate Council Representative) no longer required.** NOTE: As of May 2003, the University/OGAPS no longer require a GCR (Graduate Council Representative), to be a part of your AC. GCR’s currently serving on Advisory Committees may elect to remain if mutually agreed by the student, GRC, and AC. Neither OGAPS nor Mays Dean’s Office need to be involved.

**English Language Proficiency.** All international students at Texas A&M University must demonstrate proficiency in English. Graduate student foreign language requirements at Texas A&M are monitored by the Office of Graduate and Professional Studies and the student’s Department. Additional information can be found at [http://eli.tamu.edu/tamustudents/proficiency.php](http://eli.tamu.edu/tamustudents/proficiency.php) and [http://eli.tamu.edu/elpe.php](http://eli.tamu.edu/elpe.php). Testing information can be found at the University’s Data and
Research Services website [http://dars.tamu.edu/testing/ELPE](http://dars.tamu.edu/testing/ELPE). Mays Dean’s Office strongly encourages you to visit these sites and familiarize yourself with the proficiency requirements and testing process at Texas A&M University.

**Program Change.** If you wish to change from the PhD program to one of our Master’s Programs, you must petition the Departmental Advisor of the appropriate Master’s Program and the Department Head. Such a petition shall be routed through the EAD (for information only) to the Master’s Program Director and then to the OGAPS Dean.

**Placement.** Each Department typically receives announcements of job openings from other institutions. These are made available to each PhD candidate. You are encouraged to examine these announcements and to discuss them with faculty members. Regional and national meetings of professional organizations conduct placement activities specifically for PhD students. In some organizations, ads about openings and/or applicants are carried in newsletters or in special listings that are made available to members.

Mays faculty also utilize direct contact with colleagues at other institutions to assist students in placement. This is probably the most powerful placement assistance available, and the extensive contacts of the faculty will be very valuable to Texas A&M graduates.

**Records.** You should assume responsibility for your records in Mays Business School and OGAPS. You should coordinate with your AC Chair to ensure that all required forms are filed and approved. The EAD and the Departmental Advisor should be notified of changes in course of study, change of address and telephone numbers, and any other information pertinent to individual student records. All completed forms are to be submitted through the EAD to OGAPS. This will ensure accurate records of your progress toward degree completion.

**Regents’ Graduate Fellowships and Other Mays Fellowships.** These highly competitive awards are given to new PhD students with exceptional credentials. The Fellowship is awarded for one (1) year with an option for renewal by the Department and Mays Dean’s Office based on satisfactory performance and meeting the GPA requirement. Departments submit Fellowship nominations to Mays Dean’s Office. Maintaining these Fellowships requires a minimum overall GPA of 3.00.

**Program Progression Chart**

![Program Progression Chart](image)
Frequently Asked Questions

Do I have to register in the semester or summer after I have completed my dissertation?

You are not required to register if your dissertation clears the Thesis Clerk before the last day to add a course at the beginning of the semester (including SSI). The critical point is that the dissertation is "cleared by the Thesis Clerk"; submission is not sufficient.

Do I have to enroll in both summer sessions?

In-residence PhD student summer registration is based on summer funding, scholarship, and immigration requirements. Registration is only required if the student is funded and meets the University's minimum requirement. Check the OGAPS website for updated information at http://ogaps.tamu.edu/

International students should always contact ISS (845-1825) to determine the minimum number of credit hours required depending on the type of visa held.

Graduate Assistantships. PhD students who hold assistantships (or receive other summer funding) must be enrolled for a minimum of 3 credit hours for each 5-week summer term funded in order to meet the University's full-time enrollment requirement. This requirement can be accomplished by registering in each 5-week term that you are funded and/or the combined 10-week term. Any combination is appropriate. Assistantships terminate upon failure to maintain the University's minimum enrollment requirement. Contact your Department for more information.

In-absentia Registration Requirement. PhD students admitted to candidacy and no longer in-residence, yet still engaged in research for their dissertations, must register in-absentia for one (1) credit hour of 691 (Research) each fall, spring, and 10-week summer term until all requirements for the degree have been completed and graduate. Contact your Department to ensure an in-absentia section of 691 has been created for the appropriate semester.

If I have an assistantship in the summer, can I enroll in a 3-hour course for SSI and a 3-hour 691 for the 10-week session?

Yes.

Can I have my exam/defense between semesters?

Check with your Department PhD Coordinator, Advisory Committee, and OGAPS.

How will I know if my exam schedule has been approved?

Contact the Office of Graduate and Professional Studies at http://ogaps.tamu.edu/

What forms do I have to submit to schedule my exam/defense?

Contact the Office of Graduate and Professional Studies at http://ogaps.tamu.edu/

Can I submit a petition at the same time I submit my preliminary exam or final defense schedule?

Contact the Office of Graduate and Professional Studies at http://ogaps.tamu.edu/
**APPENDIX**

Office of Graduate and Professional Studies (OGAPS) & Thesis Office Contact Information

**OGAPS Web site** http://ogaps.tamu.edu/Home  
**Telephone** 979.845.3631

**Degree Plan – Online Degree Plan Submission System**  
https://ogsdpss.tamu.edu/

*Mays PhD students are required to use the OGAPS Online Degree Plan System*

The various forms and petitions can be found of the Office of Graduate and Professional Studies web page at http://ogaps.tamu.edu/Buttons/Forms-Information

- Letter of Intent
- Out-of-State Tuition Waiver Form
- Petition to Change of Committee
- Petition to Change of Major, Degree, or Department
- Petition for Course Change
- Petition for Waivers or Exceptions to University Requirements
- Preliminary Examination Forms
- Application for Degree
- Proposal Title Page for Thesis, Dissertation, or Record of Study
- Request for Final Examination
- Fact Sheets - information for current students on frequently asked questions